

# EASY, SECURE ACCESS

Signing up for Schwab Alliance gives you access to your account information virtually anytime, anywhere, while saving time and paperwork.

Designed for investors like you, these helpful tools enable you to stay informed about your accounts while still relying on the one-on-one guidance provided by your independent advisor.



*charles* SCHWAB

## Sign up today

**TIP:** Please have your most recent account statement on hand. If you don't have a statement available, please contact your advisor for assistance. Having your advisor initiate your online enrollment will allow you to skip steps 1 and 3.

### HERE'S A SIMPLE STEP-BY-STEP GUIDE:

#### 1 Go to [schwaballiance.com](http://schwaballiance.com) and click "New User?"

You can skip this step if your advisor initiated your online enrollment. Simply click the link provided by your advisor via email within seven days.<sup>1</sup>

A screenshot of the Schwab Alliance Login page. The page title is "Schwab Alliance Login". Below the title is a subtitle: "A premium service designed to complement the expertise and the personal advice of your advisor." The main content area contains a login form with fields for "Login ID" and "Password". To the right of the "Login ID" field is a "New User?" link with a blue arrow pointing left. To the right of the "Password" field is a "Forgot your Password?" link. Below the password field is a "Start Page" dropdown menu set to "Accounts Summary" and a "Save as your start page" checkbox. A green "Log In" button is at the bottom left of the form. On the right side of the page, there is a "Schwab Safe" logo and a "VeriSign Secured" logo with the text "The Schwab Security Guarantee".

#### 2 Enter account information

Your brokerage account number is the eight-digit number at the top of your account statement. If you own more than one account, you can enter any one of those account numbers.

A screenshot of the "Client Identification" registration form. The form title is "Client Identification". Below the title is a subtitle: "To access your account information online, you'll need to complete a brief registration process. To begin, enter the information below to help us verify your identity." The main content area contains a form with the following fields: "Social Security Number" (with a "Don't have a Social Security Number?" link), "Brokerage Account Number" (with a blue arrow pointing right), "Date of Birth" (with MM/DD/YYYY format), and "Home Phone Number". A green "Continue" button is at the bottom right of the form. On the right side of the page, there is a "VeriSign Secured" logo with the text "The Schwab Security Guarantee".

1. If the link expires, ask your advisor to resend.

### 3 Authenticate via account securities

Refer to your account statement to confirm which of the securities listed is held in your account. If your account is new and assets haven't transferred yet, select "NONE OF THE ABOVE." You can skip this step if your advisor initiated your online enrollment.

#### Authentication

As an added security measure, please choose which security is held in this account.

Only one attempt is allowed.

- T. ROWE PRICE MID-CAP GROWTH FUND,(RPMGX)
- SIERRA PACIFIC RESOURCES(SRP)
- ALTRIA GROUP(MO)
- SCHWAB SMALL-CAP INDEX FUND-SELECT(SWSSX)
- SYNAWANT INC(SNVT)
- WASATCH CORE GROWTH FUND(WGROX)
- ADV FIBRE COMMUN INC(AFCI)
- VANGUARD INTERMEDIATE TERM TAX-EXEM(WWTDX)
- LINEAR TECHNOLOGY CORPORATION(LLTC)
- NONE OF THE ABOVE

### 4 Select primary account

Create a nickname for your account and select the purpose (optional).

#### Account List

Personalize Your Account Display

Account Number	Type	Primary Account	Create Nickname	This Account is for(Optional)
5101-1438	Schwab One	<input type="radio"/>	<input type="text"/>	Select One

If any of your accounts missing, please contact your service team or call us at **800-435-4000**.

### 5 Create your login ID and password

Choose a secret question and answer to make any future password resets easier. For your own security, do not share this information with anyone, including your advisor.

#### Login ID & Password Setup

##### Create a Login ID

Enter a Login ID :  6-20 characters with at least 1 letter

##### Create a Password

Enter Password :  6-8 characters with at least 1 number between letters

Re-enter password :

Choose a secret question :

Secret question answer:  5-30 characters

Re-enter answer :

### 6 Verify information

If anything is inaccurate, click "Change Information."

#### Account List

Account Number	Type	Primary Account	Nickname	Grouping
5101-1438	Schwab One	Primary		

#### Login ID & Password Confirmation

Login ID: JSmithDemo

Password<sup>1</sup>: \*\*\*\*\*

Secret Question: At what hospital were you born?

Secret Answer<sup>1</sup>: \*\*\*\*\*

Email: john.sample@email.com

### 7 Review and accept user agreements

Review the user agreements and click "Agree" for each to accept their terms.

Addendum and the Agreement as relates to Information Providers. To the extent you have any claims against or by NASDAQ (not involving Schwab) arising out of the Agreement or this Addendum, those claims shall be governed by and construed and enforced in accordance with the laws of the State of Maryland without reference to principles of conflicts of law, and you consent to submit to the jurisdiction of the courts of or for the State of Maryland in connection with any such claim.

ACCEPTED AND AGREED: I acknowledge that I have read the preceding terms and conditions, and that I understand, assent to, and agree to comply with, those terms and conditions.

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### 8 Enroll in paperless delivery

Sign up for electronic delivery of key account documents. You can enroll all eligible accounts, or select specific accounts or documents you wish to enroll.

#### Document Delivery Preferences

Switch to paperless services and reduce the amount of mail you receive. [Read our Paperless Documents Informed Consent](#).

Review your paperless preferences and click Go to Schwab.com below. If you don't want electronic delivery for certain documents, unselect those for each account.

Enroll all eligible accounts

Account Number	Account Type	Nickname	Trade Confirmations	Statements	Shareholder Documents
5101-1438	Schwab One		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Enter an email address to receive your paperless documents

Email Address:

You can change your document delivery preferences and email address at anytime on Schwab.com.

Consent to receive paperless documents

You consent to receive and read in electronic format the [Paperless Documents Informed Consent](#).

I Consent

If you already have online access to Schwab Alliance, go directly to [schwab.com/sa\\_gopaperless](https://schwab.com/sa_gopaperless) to sign up for electronic delivery. If you have any questions or need further assistance, call a Schwab Alliance specialist at 800-515-2157 or ask your investment advisor to initiate your online enrollment.

# Monitor your investments easily and securely

- Get instant access to your account information—online or on the go—with Schwab mobile apps.
- Count on fast, secure, paperless delivery of important documents—including account statements, trade confirmations, and tax reports.<sup>2</sup>
- Access up to 10 years of archived materials.
- Check the status of transactions your investment advisor has made on your behalf.
- View a full, clear snapshot of your accounts—including balances and a summary of individual holdings.
- See all of your Schwab accounts—including brokerage, bank, and employer-sponsored accounts—in a single view.

**All Schwab Accounts**  
Page last updated: 12:01 PM ET 10/10/2012

Select an Account: **Show All Schwab Accounts** Print

**All Brokerage Accounts**

Total Accounts Value \* **\$12,016.05** Total Cash & Cash Investments **\$1,466.27** Total Market Value **\$10,549.78** Day Change \*\* **+24.99 (+0.21%)**

**Brokerage Accounts**

Name	Account	Cash & Cash Investments	Account Value	Day Change **	Next Steps
Name 01	0000-0000	\$0.00	\$0.00	+0.00 (0%)	
Name 02	0000-0000	\$20.41	\$20.41	+0.00 (0%)	
Name 03	0000-0000	\$20.91	\$20.91	+0.00 (0%)	
Name 04	0000-0000	\$1,424.95	\$11,974.73	+24.99 (+0.21%)	
<b>Totals</b>		<b>\$1,466.27</b>	<b>\$12,016.05</b>	<b>+24.99 (+0.21%)</b>	<a href="#">All Positions</a>

**Business News**  
As of 10/10/12 12:01 PM EDT Refresh

Apple fans may trade old iPads for mini version  
Market/10/10/2012 11:53

Wednesday's biggest gaining and declining stocks  
Market/10/10/2012 11:53

Facebook, Zynga lead tech stocks lower  
Market/10/10/2012 11:47

Can same-day service deliver?  
Market/10/10/2012 11:45

UPDATE 2-Wal-Mart stock hits new high as U.S. strength continues  
Reuters 10/10/2012 11:44

Get instant access to your account information.

Ask your advisor to initiate your online access to Schwab Alliance, or simply register at **schwaballiance.com**. It's free, fast, and easy.

2. You must be listed as the account owner in order to enroll in electronic delivery. You may also be eligible for lower electronic equity trade commissions if you enroll in electronic delivery.

**Sign up for online access today, or call a Schwab Alliance specialist at 800-515-2157.**

The Schwab Mobile Deposit service is subject to certain eligibility requirements, limitations, and other conditions. Enrollment is not guaranteed, and standard hold policies apply.

Access to electronic services may be limited or unavailable during periods of peak demand, market volatility, systems upgrade, maintenance, or for other reasons.

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